shadforth

Shadforth Portfolio Service – Investment

2 April 2024

In Specie Transfer Unrealised CGT Parcel Information

Please use this form if you are completing a no change of beneficial ownership transfer into your Shadforth Portfolio Service – Investment (Investment Service) account.

This form is used to provide the Service Operator with details of the current tax parcel information for each asset you transfer into the Investment Service. The Service Operator will use information supplied to establish the cost base of the assets in our registry. The cost base will then be managed by the Service Operator and used to report on tax events which arise through asset sales, corporate actions and dividend/distribution payments in respect of the assets transferred.

Important note: You must supply the unrealised CGT position of each parcel held.

This should not simply be a transaction history as this will not reflect any historical tax events effecting the parcels such as payment of tax deferred income, corporate actions etc. Where your assets are held in an investment platform elsewhere, the platform will usually be able to supply the required information to you.

You should consult your financial adviser or tax accountant for further clarification.

It is your responsibility to ensure the information supplied to the Service Operator is correct and in the prescribed format. If you do not supply these details, or they are not supplied in the prescribed format, your transfer may not be processed.

Alternatively, you can download the In Specie Transfer Unrealised CGT Parcel Information spreadsheet (Excel file) available on our website (portfolio.sfg.com.au), complete and email to portfolioservice@sfg.com.au

Please note we will not upload the data you provide in this spreadsheet without receipt of your signed declaration within the **In Specie Transfer of Assets into Shadforth Portfolio Service – Investment** form.

Please complete these instructions in BLACK INK using CAPITAL LETTERS and ✓ boxes where provided. Any personal information provided in this form will be handled in accordance with the Service Operator's privacy policy, available at sfg.com.au/portfolio/privacy.

Step 1: Client details

Account number | | | | | | | | |

Account name

Step 2: In specie transfer unrealised CGT information

For each asset's unrealised CGT information, please photocopy this page and attach to the back of this form.

Asset code	Asset name	Effective date	No. of units	Unit price	Cost base	Reduced cost base	Adjusted cost base

Please forward all correspondence and enquiries to

Post: Shadforth Portfolio Service
GPO Box 264, Melbourne VIC 3001

Email: portfolioservice@sfg.com.au

Telephone: 1800 931 792

Web: portfolio.sfg.com.au